An upper bound on $\ell_q$ norms of noisy functions

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Abstract

Let $T_\epsilon$, $0 \leq \epsilon \leq 1/2$, be the noise operator acting on functions on the boolean cube $\{0,1\}^n$. Let $f$ be a nonnegative function on $\{0,1\}^n$ and let $q \geq 1$. We upper bound the $\ell_q$ norm of $T_\epsilon f$ by the average $\ell_q$ norm of conditional expectations of $f$, given sets of roughly $(1 - 2\epsilon)^r(q^r) \cdot n$ variables, where $r$ is an explicitly defined function of $q$.

We describe some applications for error-correcting codes and for matroids. In particular, we derive an upper bound on the weight distribution of duals of BEC-capacity achieving binary linear codes. This improves the known bounds on the linear-weight components of the weight distribution of constant rate binary Reed-Muller codes for almost all rates.

1 Introduction

This paper considers the well-known problem of quantifying the decrease in the $\ell_q$ norm of a function on the boolean cube when this function is acted on by the noise operator.

Given a noise parameter $0 \leq \epsilon \leq 1/2$, the noise operator $T_\epsilon$ acts on functions on the boolean cube as follows: for $f: \{0,1\}^n \rightarrow \mathbb{R}$, $T_\epsilon f$ at a point $x$ is the expected value of $f$ at $y$, where $y$ is $\epsilon$-correlated with $x$. That is, $y$ is a random binary vector whose $i^{th}$ coordinate is $x_i$ with probability $1 - \epsilon$ and $1 - x_i$ with probability $\epsilon$, independently for different coordinates. Namely, $(T_\epsilon f)(x) = \sum_{y \in \{0,1\}^n} \epsilon^{||y-x||} (1 - \epsilon)^n - ||y-x|| f(y)$, where $|| \cdot ||$ denotes the Hamming distance. We will write $f_\epsilon$ for $T_\epsilon f$, for brevity.

Note that $f_\epsilon$ is a convex combination of shifted copies of $f$. Hence, the noise operator decreases norms. An effective way to quantify this decrease for $\ell_q$ norms is given by the hypercontractive inequality \cite{3,7,2} (see also \cite{10}):

$$\|f_\epsilon\|_q \leq \|f\|_{1+(q-1)(1-2\epsilon)^2}. \quad (1)$$

Entropy provides another example of a convex homogeneous functional on (nonnegative) functions on the boolean cube. For a nonnegative function $f$ let the entropy of $f$ be given by $\text{Ent}(f) = \mathbb{E} f \log_2 f - \mathbb{E} f \log_2 \mathbb{E} f$ (where the expectation is taken w.r.t. the uniform measure on $\{0,1\}^n$). The entropy of $f$ is closely related to Shannon’s entropy of the corresponding distribution $f/\Sigma f$ on $\{0,1\}^n$, and similarly the entropy of $f_\epsilon$ is related to Shannon’s entropy of the output of a binary symmetric channel with error probability $\epsilon$ on input distributed according to $f/\Sigma f$. The decrease in entropy (or, correspondingly the increase in Shannon’s entropy) after
noise is quantified in the "Mrs. Gerber’s Lemma" [15]: $\text{Ent}(f_\epsilon) \leq n \mathbb{E} f \cdot \phi\left(\frac{\text{Ent}(f)}{n \mathbb{E} T}, \epsilon\right)$, where $\phi$ is an explicitly given function on $[0, 1] \times [0, 1/2]$.

There is a well-known connection between the $\ell_q$ norms of a nonnegative function $f$ and its entropy (see e.g., [6]): Assume, as we may by homogeneity, that $\mathbb{E} f = 1$. Then $\text{Ent}(f) = \lim_{q \to 1} \frac{1}{q-1} \log_2 ||f||_q$. The quantity $\text{Ent}_q(f) = \frac{1}{q-1} \log_2 ||f||_q$ is known as the $q$th Renyi entropy of $f$ ([12]).

The starting point for this paper is an alternative way to quantify the decrease in entropy after noise given in [13]. To state this result, let us introduce some notation. For $0 \leq \lambda \leq 1$, let $T \sim \lambda$ denote a random subset $T$ of $[n]$ in which each element is chosen independently with probability $\lambda$. Let $\mathbb{E}(f|T)$ be the conditional expectation of $f$ with respect to $T$, that is a function on $\{0, 1\}^n$ defined by $\mathbb{E}(f|T)(x) = \mathbb{E}_{y: y|T = x|T} f(x)$. Then ([13]):

$$\text{Ent}(f_\epsilon) \leq \mathbb{E}_{T \sim (1-2\epsilon)^2} \text{Ent}(\mathbb{E}(f|T)). \tag{2}$$

In light of the connection between entropy and $\ell_q$ norms, it is natural to ask whether (2) can be extended to an inequality between $\ell_q$ norms (equivalently, Renyi entropies). The main result of this paper is a positive answer to this question.

**Theorem 1.1:** Let $f$ be a nonnegative function on $\{0, 1\}^n$. Then, for any $q > 1$ holds

$$\log ||f_\epsilon||_q \leq \mathbb{E}_{T \sim \lambda} \log ||\mathbb{E}(f|T)||_q, \tag{3}$$

with $\lambda = \lambda(q, \epsilon) = (1 - 2\epsilon)^r$, where the exponent $r = r(q)$ is given by the following expression:

$$r(q) = \begin{cases} 
\frac{1}{2 \ln 2} \frac{2^{q-1} - 1}{q-1} & 1 < q \leq 2 \\
\frac{2^{-q}}{q-1} & q \geq 2 \end{cases} \tag{4}$$

Note that (3) is in fact an extension of (2), since assuming $\mathbb{E} f = 1$, dividing both sides by $q - 1$ and taking the limit as $q \to 1$, recovers (2). The only thing to observe is that $\lim_{q \to 1} r(q) = 2$.

**Remark 1.2:** Since conditional expectation of a function $f$ is a convex combination of shifted copies of $f$, its norm is smaller than that of $f$, and hence (3) measures the decrease in $\ell_q$-norm under noise, similarly to (1). In fact, the proof of (3) follows the approach of [7] to the proof of (1). In this approach, we view both sides of the corresponding inequality as functions of $\epsilon$ (for a fixed $q$) and compare the derivatives. Since noise operators form a semigroup it suffices to compare the derivatives at zero, and this is done via an appropriate logarithmic Sobolev inequality (see (7)).

1.1 Applications

Let $C \subseteq \{0, 1\}^n$ be a binary linear code. Let $r_C(\cdot)$ denote the rank function of the binary matroid defined by $C$. That is, $r_C(T)$ is the rank of the column submatrix of a generating matrix of $C$ which contains columns indexed by $T$. Applying (3) to the scaled characteristic function of $C$, that is $f = \frac{2^n}{|C|} \cdot 1_C$, gives the following claim, connecting between the linear structure of $C$ and its behavior under noise.
Proposition 1.3: For any $0 \leq \lambda \leq 1$ holds

$$\lambda n - \mathbb{E}_T r_C(T) \geq \max_{1 < q < \infty} \frac{1}{q-1} \cdot \log_2 \mathbb{E}_f^q, \quad \text{for} \quad \epsilon(q) = \frac{1 - \lambda^{1/r(q)}}{2}. \quad (5)$$

Let us discuss the bound given by (5). First, it seems useful to extend it to the limiting values of $q$ as well. Let $F(\lambda, q) = \frac{1}{q-1} \cdot \log_2 \mathbb{E}_f^q$, for $1 < q < \infty$. Set $F(\lambda, 1) = \lim_{q \to 1} F(\lambda, q) = \text{Ent} \left( \frac{f_{1-\sqrt{2}}}{2} \right)$ and $F(\lambda, \infty) = \lim_{q \to \infty} F(\lambda, q) = \log_2 \| f_{1-\sqrt{2}} \|_\infty$. Then we get $\lambda n - \mathbb{E}_{T \sim \lambda} r_C(T) \geq \max_{1 \leq q \leq \infty} F(\lambda, q)$. For any $\lambda$, the three values of $F(\lambda, q)$ which seem to be easier to understand are $F(\lambda, 1)$, $F(\lambda, \infty)$ and $F(\lambda, 2) = \log_2 \mathbb{E}_f^2$. While simple examples show that $F(\lambda, 1)$ can be both smaller and greater than $F(\lambda, 2)$, intriguingly we always have $F(\lambda, 2) = F(\lambda, \infty)$, and moreover this quantity is easily expressible in terms of the weight distribution of the dual code $C^\perp$.

Lemma 1.4: Let $(b_0, ..., b_n)$ be the weight distribution of $C^\perp$. That is $b_i = \left| \{ x \in C^\perp, |x| = i \} \right|$, for $i = 0, ..., n$. Then

$$F(\lambda, 2) = F(\lambda, \infty) = \log_2 \sum_{i=0}^n b_i \lambda^{2 \ln 2 - i}.$$

We proceed to discuss some applications of (5).

1.1.1 Codes achieving capacity for the binary erasure channel

This is a family of codes for which we can effectively upper bound the LHS of (5), for $\lambda$ close to the rate of the code. Recall that the rate of a linear code $R(C)$ is defined as $\frac{1}{n} \log_2 (|C|) = \frac{\dim(C)}{n}$. A code $C \subseteq \{0,1\}^n$ (more precisely a family of codes indexed by $n$) achieves capacity for the BEC (binary erasure channel) if there exist two functions $\delta_1, \delta_2$ which go to zero with $n$ such that for $\lambda = R(C) + \delta_1$ the probability of decoding error given by $p_e = \Pr_{T \sim \lambda} \{ r_C(T) < R(C) \cdot n \}$ is upperbounded by $\delta_2$. This immediately implies (writing $R$ for $R(C)$) that

$$\lambda n - \mathbb{E}_{T \sim \lambda} r_C(T) \leq \lambda n - (1 - \delta_2) \cdot R n = \delta_1 n + \delta_2 R n = o(n).$$

Hence we have the following corollary of (5).

Corollary 1.5: Let $C$ be a BEC capacity achieving code of rate $R$. Then there exists $\lambda = R + o(1)$ such that for all $1 \leq q \leq \infty$ holds $F(\lambda, q) \leq o(n)$.

The inequality $\text{Ent} \left( \frac{f_{1-\sqrt{2}}}{2} \right) = F(\lambda, 1) \leq o(n)$ has been interpreted ([11], using (2)) as indicating that $C$ is ‘well-dispersed’ in $\{0,1\}^n$ in the sense that the output of the binary symmetric channel with error probability $\epsilon = \frac{1-\sqrt{2}}{2} \approx \frac{1-\sqrt{2}}{2}$ whose input is a random codeword from $C$.
is very close in the Kullback-Leibler distance to the uniform distribution. We observe that Corollary 1.5 can be interpreted as providing increasingly stronger measures of proximity of the channel output to uniform, as noise increases beyond $\frac{1-\sqrt{R}}{2}$.

The inequality $F(\lambda, 2) \leq o(n)$ together with Lemma 1.4 provide the following bound on the components of the weight distribution of a code $C'$ which is the dual of a code achieving BEC capacity.

**Proposition 1.6:** Let $C'$ be the dual of a linear code $C$ achieving BEC capacity. Let $R = R(C')$ be the rate of $C'$. Let $(b_0, ..., b_n)$ be the distance distribution of $C'$. Then for all $0 \leq i \leq n$ holds

$$b_i \leq 2^{o(n)} \cdot \left(\frac{1}{1-R}\right)^{2\ln 2^i}$$

In particular, since the dual of a Reed-Muller code is a Reed-Muller code, and since Reed-Muller codes achieve BEC capacity [9], the bound in Proposition 1.6 holds for Reed-Muller codes. The bounds in the literature [1], [8], [14] seem to focus mostly on Reed-Muller codes of rates close to 0 or 1, or on weights which increase sublinearly in $n$, but do extend to all weights and to all rates. Comparing with these bounds, it seems that Proposition 1.6 improves the bounds on $\{b_i\}$, for $i$ growing linearly in $n$, for constant-rate Reed-Muller codes of almost all rates: $0 < R < 1 - \delta$, for $\delta \ll 1$ ($\delta < 2^{-50}$).

1.1.2 Bounds on weight distribution of linear codes

The following (more general) claim is an immediate corollary of the inequality $\lambda n - E_{T \sim \lambda} r_C(T) \geq F(\lambda, 2)$ and of Lemma 1.4.

**Corollary 1.7:** Let $C$ be a binary linear code and let $(b_0, ..., b_n)$ be the distance distribution of the dual code $C^\perp$. Then for any $0 \leq \lambda \leq 1$ and for any $0 \leq i \leq n$ holds

$$b_i \leq \lambda^{-2\ln 2^i} \cdot 2^{\lambda n - E_{T \sim \lambda} r_C(T)}.$$  \hspace{1cm} (6)

This claim gives upper bounds on the components of the weight distribution of $C^\perp$, provided we can upper bound $\lambda n - E_{T \sim \lambda} r_C(T)$.

1.1.3 Ranks of random subsets in a binary matroid

We give a different way to write the inequality $\lambda n - E_{T \sim \lambda} r_C(T) \geq F(\lambda, 2)$, stating it as a lemma since it requires a (simple) argument.

**Lemma 1.8:** Let $r_C(\cdot)$ be the rank function of the binary matroid on $\{1, ..., n\}$ defined by a generating matrix of a linear code $C$ of length $n$. Let $0 \leq p \leq 1$ and let $t = p^{\frac{2\ln 2}{\lambda}}$. Then

$$\log_2 \mathbb{E}_{S \sim p} \left(2^{|S| - r_C(S)}\right) \leq \mathbb{E}_{T \sim t} \left(|T| - r_C(T)\right).$$
Let the dimension of $C$ be $k$. Recall that the Tutte polynomial \cite{5} of the matroid defined by $C$ is $T_C(x, y) = \sum_{S \subseteq \{1, \ldots, n\}} (x - 1)^{|S| - r_C(S)} (y - 1)^{|S| - r_C(S)}$. It is easy to see that an alternative way to write the inequality of Lemma 1.8 is

$$p^k(1-p)^{n-k}T_C\left(\frac{1}{p}, \frac{1+p}{1-p}\right) \leq t^{k+1}(1-t)^{n-k-1}\left(\frac{d}{dy}T_C\right)\left(\frac{1}{t}, \frac{1}{1-t}\right).$$

As an immediate implication of Lemma 1.8 we get the following tail bound.

**Corollary 1.9:** For any $\Delta \geq 0$ holds

$$\Pr_{S \sim p}\left\{|S| - r_C(S) \geq \mathbb{E}_{T \sim t} (|T| - r_C(T)) + \Delta\right\} \leq 2^{-\Delta}.$$

**Remark 1.10:** Consider a different approach to obtain tail bounds for the function $|S| - r_C(S)$. Let $f(S) = |S| - r_C(S)$. Then $|f(x) - f(y)| \leq \|x - y\|$, for any $x, y \in \{0, 1\}^n$ (here $\|x - y\|$ stands for the Hamming distance between $x$ and $y$) and hence, by the 'bounded differences' inequality \cite{4}, for any $t \geq 0$ holds $\Pr_{S \sim p}\{f(S) \geq \mathbb{E}_{T \sim t} f(T) + t\} \leq e^{-2t^2/n}$.

Let $\mu : p \mapsto \mathbb{E}_{S \sim p} f(S)$. By the Margulis-Russo formula \cite{10}, $\mu' = \frac{1}{p} \mathbb{E}_{S \sim p} \sum_{i \in S} (f(S) - f(S \setminus \{i\}))$. Hence, since $f$ is monotone increasing, $\mu' \geq 0$ and $\mu$ is increasing. Moreover, since $f$ is supermodular (by submodularity of rank), it is easy to see that $\mu$ is convex. In particular, since $\mu(0) = 0$, we have $\mu(t) \geq \frac{1}{p} \mu(p)$ for $t \geq p$. Taking everything into account, we have

$$\Pr_{S \sim p}\{f(S) \geq \mathbb{E}_{T \sim t} f(T) + \Delta\} \leq e^{-\frac{2 \mu(t) - \mu(p) + \Delta}{n}} \leq e^{-\frac{2((t-p)\mu(p) + p\Delta)^2}{p^2n}}.$$

Note that this does not seem to recover the bound of Corollary 1.9 when $\mu(t)$ is small, as in the case of BEC capacity achieving codes.

To conclude, we consider the special case of graphic matroids. For a graph $G = (V, E)$ with $n$ edges, let $M$ be the matroid on $\{1, \ldots, n\}$ whose independent sets are forests in $G$. This is a binary matroid, and Lemma 1.8 specializes as follows.

**Corollary 1.11:** Let $G = (V, E)$ be a graph. For a subset of edges $S \subseteq E$, let $c(S)$ denote the number of the connected components in the subgraph $(V, S)$. Then

$$\log_2 \mathbb{E}_{S \sim p} \left(2^{|S| + c(S)}\right) \leq t|E| + \mathbb{E}_{T \sim t} c(T).$$

This paper is organized as follows. We prove Theorem 1.1 in Section 2. All the remaining proofs are in Section 3.

## 2 Proof of Theorem 1.1

We start with a log-Sobolev-type inequality. Recall that the Dirichlet form $\mathcal{E}(f, g)$ for functions $f$ and $g$ on the boolean cube is defined by $\mathcal{E}(f, g) = \mathbb{E}_x \sum_{y \sim x} \left(f(x) - f(y)\right)\left(g(x) - g(y)\right)$. Here $y \sim x$ means that $x$ and $y$ differ in precisely one coordinate.
**Theorem 2.1:** Let \( f \) be a nonnegative function on \( \{0,1\}^n \). Then for any \( q \geq 1 \) holds

\[
\mathcal{E}(f^{q-1}, f) \geq 4r(q)E f^q \cdot \left(n \cdot \ln \|f\|_q - \sum_{|T|=n-1} \ln \|\mathbb{E}(f|T)\|_q\right),
\]

where \( r(q) \) is given in (4).

Moreover for \( 1 < q < 2 \) equality is attained if and only if \( g \) is a constant function, and for \( q \geq 2 \) if and only if there is a collection of subcubes of distance at least 2 from each other such that \( f \) is constant on each such subcube.

**Corollary 2.2:** Let \( \delta > 0 \) be arbitrarily small. For any nonnegative non-constant function \( f \) on \( \{0,1\}^n \) and for any \( q > 1 \) holds

\[
\mathcal{E}(f^{q-1}, f) > 4(r(q) - \delta)E f^q \cdot \left(n \cdot \ln \|f\|_q - \sum_{|T|=n-1} \ln \|\mathbb{E}(f|T)\|_q\right).
\]

Theorem 2.1 is proved below. For now we assume that it holds and proceed with the proof of Theorem 1.1. Observe that the claim of the theorem is immediate for constant functions, since both sides of (3) are \( \log(\mathbb{E} f) \). So we may and will assume that \( f \) is not constant (note that this implies \( f \in \) is non-constant for all \( 0 \leq \epsilon < 1/2 \)).

Fix \( q > 1 \). Let \( \delta > 0 \). We will prove (3) for \( \lambda(q, \epsilon) = (1 - 2\epsilon)r(q) - \delta \). Taking \( \delta \) to zero will then imply (3) for \( \lambda = (1 - 2\epsilon)r(q) \) as well.

The proof proceeds by induction on \( n \). The claim clearly holds for \( n = 0 \). Let \( n > 0 \). We assume that the claim holds for all dimensions smaller than \( n \), and show that it holds for \( n \) as well. For fixed \( q \) and \( \delta \) both sides of (3) are functions of \( f \) and \( \epsilon \). Let \( F(f, \epsilon) \) denote the LHS and \( G(f, \epsilon) \) the RHS. Clearly \( F(f, 0) = G(f, 0) = \log \|f\|_q \). We will argue that if \( F(f, \epsilon) = G(f, \epsilon) \) for some \( 0 \leq \epsilon < 1/2 \) then necessarily \( F'(f, \epsilon) < G'(f, \epsilon) \) (here and below the derivatives are taken w.r.t. \( \epsilon \)). It is easy to see that this implies \( F'(f, \epsilon) \leq G'(f, \epsilon) \), for all \( 0 \leq \epsilon \leq 1/2 \), which is precisely what we need to show.

Due to the fact that the noise operators form a semigroup under composition: \( T_\rho \circ T_\epsilon = T_{\epsilon + \rho - 2\epsilon \rho} \), it will suffice to compare the derivatives at zero. This comparison is done in the following lemma.

**Lemma 2.3:** Let \( g \) be a nonnegative non-constant function on \( \{0,1\}^n \) with \( \mathbb{E} g = 1 \). Then

\[
F'(g, 0) < G'(g, 0).
\]

**Proof:** As shown in [7], we have \( F'(f, 0) = -\frac{\mathcal{E}(f^{q-1}, f)}{2f^q} \). We claim that

\[
G'(f, 0) = -2(r(q) - \delta) \cdot \left(n \log \|f\|_q - \sum_{|T|=n-1} \log \|\mathbb{E}(f|T)\|_q\right).
\]

6
which will conclude the proof of the lemma by Corollary 2.2. In fact, note that \( \lambda(0) = 1 \) and that \( \lambda'(0) = -2(r(q) - \delta) \). Hence we have:

\[
G'(f, 0) = \frac{d}{d\epsilon |_{\epsilon = 0}} \mathbb{E}_T \log \| f_T \|_q = \frac{d}{d\epsilon |_{\epsilon = 0}} \left( \lambda^n \cdot \log ||f||_q + \lambda^{n-1}(1 - \lambda) \cdot \sum_{|T|=n-1} \log ||\mathbb{E}(f|T)||_q \right) =
\]

\[-2(r(q) - \delta) \cdot \left( n \cdot \log ||f||_q - \sum_{|T|=n-1} \log ||\mathbb{E}(f|T)||_q \right),
\]

completing the proof.

We can now conclude the proof of Theorem 1.1. Assume that \( F(f, \epsilon) = G(f, \epsilon) \), for some \( 0 \leq \epsilon < 1/2 \). Consider the functions \( F(f, \epsilon, \rho) \) and \( G(f, \epsilon, \rho) \), for \( 0 \leq \rho \leq 1/2 \). We have

\[
F(f, \epsilon, \rho) = \log ||f(\rho)||_q = \log ||f_{\epsilon+(1-2\epsilon)\cdot\rho}||_q = F(f, \epsilon + (1 - 2\epsilon) \cdot \rho),
\]

and

\[
G(f, \epsilon, \rho) = \mathbb{E}_{R \sim \lambda(q, \rho)} \log ||f_R||_q \leq \mathbb{E}_{R \sim \lambda(q, \rho)} \mathbb{E}_{T \subseteq R, T \sim \lambda(q, \epsilon)} \log ||\mathbb{E}(f|T)||_q =
\]

\[
\mathbb{E}_{T \sim \lambda(q, \rho) \cdot \lambda(q, \epsilon)} \log ||f_T||_q = \mathbb{E}_{T \sim \lambda(q, \epsilon + (1-2\epsilon) \cdot \rho)} \log ||\mathbb{E}(f|T)||_q = G(f, \epsilon + (1 - 2\epsilon) \cdot \rho).
\]

The inequality follows from the induction hypothesis for \( R \subset [n] \) and from the assumption \( F(f, \epsilon) = G(f, \epsilon) \) for \( R = [n] \). Hence, by Lemma 2.3,

\[
F'(f, \epsilon) = \frac{1}{1 - 2\epsilon} \cdot F'(f, 0) < \frac{1}{1 - 2\epsilon} \cdot G'(f, 0) \leq G'(f, \epsilon),
\]

concluding the proof.

### 2.1 Proof of Theorem 2.1

We start with the base case \( n = 1 \). This case is dealt with in the following claim.

**Proposition 2.4:** Let \( g \) be a nonnegative function on a 2-point space with \( \mathbb{E} g = 1 \). Then

\[
\mathcal{E}(g^{q-1}, g) \geq 4r(q) \mathbb{E} g^q \log \|g\|_q,
\]

where \( r(q) \) is given in (4).

Moreover for \( 1 < q < 2 \) equality is attained if and only if \( g \) is a constant function, and for \( q \geq 2 \) if and only if \( g \) is a constant function, or a multiple of a characteristic function of a point.
Proposition 2.4 is proved below. Here we assume its validity and proceed with the proof of Theorem 2.1. First, we restate the 2-point inequality (8) in an equivalent form, with two modifications: replacing \( \|g\|_q \) with \( \mathbb{E} g^q \) and extending the inequality by homogeneity to general nonnegative functions.

Let \( g \) be a nonnegative function on a 2-point space. Then

\[
\mathcal{E}(g^{q-1}, g) \geq \frac{4r(q)}{q} \mathbb{E} g^q \ln \frac{\mathbb{E} g^q}{(\mathbb{E} g)^q}.
\]

We can now extend this inequality to general \( n \) by the following standard argument. Let \( f \) be a nonnegative function on \( \{0, 1\}^n \). Then, denoting by \( e_i \) the \( i \)th unit vector, we have

\[
\mathcal{E}(f^{q-1}, f) = \sum_{i=1}^n \mathbb{E}(f^{q-1}(x) - f^{q-1}(x + e_i))(f(x) - f(x + e_i)).
\]

For \( x \) and \( i \), let \( f_{x,i} \) denote the restriction of \( f \) to the 2-point space \( (x, x + e_i) \). Then the RHS of (9) is at least

\[
\sum_{i=1}^n \mathbb{E} f_{x,i}^q \ln \frac{\mathbb{E} f_{x,i}^q}{(\mathbb{E} f_{x,i})^q} = \sum_{i=1}^n \frac{1}{2^{n-1}} \sum_{x:x_i=0} \mathbb{E} f_{x,i}^q (-\ln (\mathbb{E} f_{x,i}))^q.
\]

Let \( \theta_{x,i} = \frac{\mathbb{E} f_{x,i}^q}{\sum_{y:y_i=0} \mathbb{E} f_{y,i}^q} \). Then \( \sum_{x: x_i=0} \theta_{x,i} = 1 \). Note also that \( \sum_{y:y_i=0} \mathbb{E} f_{y,i}^q = 2^{n-1} \cdot \mathbb{E} f^q \). By convexity of \( (-\ln) \) the RHS above is at least

\[
\frac{4r(q)}{q} \cdot \mathbb{E} f^q \cdot \sum_{i=1}^n (-\ln) \left( \sum_{x:x_i=0} \theta_{x,i} \frac{\mathbb{E} f_{x,i}^q}{\mathbb{E} f_{x,i}^q} \right) = \frac{4r(q)}{q} \cdot \mathbb{E} f^q \cdot \sum_{i=1}^n (-\ln) \frac{\sum_{x:x_i=0} \mathbb{E} f_{x,i}^q}{2^{n-1} \cdot \mathbb{E} f^q}
\]

\[
= \frac{4r(q)}{q} \cdot \mathbb{E} f^q \cdot \sum_{i=1}^n \ln \frac{\mathbb{E} f^q}{\mathbb{E}(f[n] \setminus \{i\})^q} = 4r(q) \mathbb{E} f^q \cdot \left( n \cdot \ln \|f\|_q - \sum_{|T|=n-1} \ln \|\mathbb{E}(f[T])\|_q \right),
\]

proving (7).

It remains to consider the cases of equality. Tracking back the conditions for equality in the proof above, it is easy to see that equality holds for \( f \) if and only if it holds for all one-dimensional restrictions \( f_{x,i} \). For \( 1 < q < 2 \) this means that all these restrictions are constant functions, implying \( f \) is constant. For \( q \geq 2 \) this means these restrictions are either constant, or multiples of a characteristic function of a point. It is easy to see (e.g., by induction on dimension), that this implies that there is a collection of subcubes of distance at least 2 from each other such that \( f \) is constant on each such subcube.\[\square\]

### 2.2 Proof of Proposition 2.4

There are two cases to consider, \( 1 < q < 2 \) and \( q \geq 2 \). These cases are dealt with in the following subsections, starting with the somewhat easier case \( q \geq 2 \).
2.2.1 The case $q \geq 2$

We will show that for a nonnegative function $g$ on a 2-point space with $Eg = 1$ holds

$$E(g^q - 1, g) \geq \frac{2}{\ln 2} \cdot \frac{1}{q-1} \cdot E g^q \ln E g^q. \quad (9)$$

Observe that equality holds in two cases: if $g$ is a constant-1 function, a multiple of a characteristic function of a point. We will show that these are the only two cases for which equality holds.

Assume, w.l.o.g., that $f(0) \leq f(1)$. Let $0 \leq x \leq 1$, and let $f(0) = x$ and $f(1) = 2 - x$. Then (9) becomes:

$$((2-x)^q - x^q) \cdot (2 - 2x) \geq \frac{2}{\ln 2} \cdot \frac{1}{q-1} \cdot \frac{x^q + (2-x)^q}{2} \ln \left( \frac{x^q + (2-x)^q}{2} \right)$$

Setting $y = x(2-x)^q - x^q(2-x), z = x^q + (2-x)^q,$ and rearranging, this is the same as $1 - \frac{y}{z} \geq \frac{1}{q-1} \cdot \log_2 \frac{z}{y}$. Note that since $x = 0$ is one of the cases for which equality holds, we may assume that $x > 0$. Now, let $t = \frac{2-x}{x}$. Then $t \geq 1, y = 2^t \cdot \frac{t^{q-1} + t}{(t+1)^q},$ and $z = 2^t \cdot \frac{t^q + 1}{(t+1)^q}$.

Rearranging and simplifying, (9) becomes

$$\log_2 \left( \left( \frac{(t+1)^q}{t^q + 1} \right)^{\frac{1}{q-1}} \right) \geq \frac{t^{q-1} + t}{t^q + 1}, \text{ for } t \geq 1, q \geq 2.$$

By convexity of the function $a \to a^q$, we have $1 < r = \left( \frac{(t+1)^q}{t^q + 1} \right)^{\frac{1}{q-1}} \leq 2$. By concavity of logarithm $\log_2(r) \geq r - 1$. (We remark that the only case in which equality holds in this inequality is if $r = 2$, that is $t = 1$, and this implies $g$ is constant.) Hence, it suffices to prove $\left( \frac{(t+1)^q}{t^q + 1} \right)^{\frac{1}{q-1}} \geq \frac{(t+1)^{(q-1)+1}}{t^q + 1}$ Simplifying and rearranging, this is the same as $(t+1) \cdot \left( \frac{t^q + 1}{(t+1)^q} \right)^{q-2} \geq \left( t^{q-1} + 1 \right)^{q-1}$.

Let $h$ be a function on the two-point space with values $t$ and 1. Then the inequality above is the same as $\|h\|_1 \cdot \|h\|_q^{(q-2)} \geq \|h\|_q^{(q-1)^2}$. Recall that the function $F : p \to \ln \|h\|_p$ is convex (this is a consequence of Hölder’s inequality). Rewriting the last inequality in terms of $F$, we get

$$\frac{1}{(q-1)^2} \cdot F(1) + \left( 1 - \frac{1}{(q-1)^2} \right) \cdot F\left( \frac{1}{q} \right) \geq F\left( \frac{1}{q-1} \right),$$

which is true by the convexity of $F$.

This completes the proof of (9). Tracing back the conditions for equality in (9), it is easy to see that it holds only in the two cases mentioned above.
2.2.2 The case $1 < q < 2$

We will show that

$$E \left( f^{q-1}, f \right) \geq \frac{2}{\ln 2} \cdot r(q) \cdot E f \ln E f^q, \quad r(q) = \frac{2^{3-q}(2^{q-1} - 1)}{q(q - 1)},$$

and that equality holds if $g$ is a constant-1 function.

Let us first deal with the case $g(0) = 0$. In this case, the inequality reduces to $q \geq 2^{3-q}(2^{q-1} - 1) = 4 - 2^{3-q}$, and it is easy to verify that this is a strict inequality for $1 < q < 2$.

Proceeding as in the proof of the first case, using the same notation, and writing $r$ for $r(q)$, the inequality (10) is equivalent to $1 - \frac{4}{r}$ and would suffice to show that $r$.

Writing the above as $r \cdot \frac{q}{t^{q+1}} \geq t + t^{q-1} + r(q - 1) - 1$, which is the same as

$$r(q - 1)(t + 1)^q \geq (2^{q-1} - 1) (t + t^{q-1}) + (r(q - 1)2^{q-1} - 2^{q-1} + 1) (t^{q} + 1).$$

Since $1 < \frac{(t+1)^q}{t^{q+1}} \leq 2^{q-1}$, concavity of the logarithm implies $\log_2 \left( \frac{(t+1)^q}{t^{q+1}} \right) \geq \frac{q-1}{2^{q-1} - 1} \cdot \left( \frac{(t+1)^q}{t^{q+1}} - 1 \right)$. (Equality holds only if $\frac{(t+1)^q}{t^{q+1}} = 2^{q-1}$, that is $t = 1$, which means that $g$ is constant.) So, it would suffice to show that $r \cdot \frac{q}{t^{q+1}} \geq t + t^{q-1} + r(q - 1) - 1$, which is the same as

$$\frac{r(q - 1)(t+1)^q}{t^{q+1}} \geq t + t^{q-1} + \frac{r(q - 1)2^{q-1} - 2^{q-1} + 1}{2^{q-1} - 1}.$$

Multiplying both sides by $(2^{q-1} - 1)(t^{q} + 1)$ gives

$$r(q - 1)(t+1)^q \geq (2^{q-1} - 1) (t + t^{q-1}) + (r(q - 1)2^{q-1} - 2^{q-1} + 1) (t^{q} + 1).$$

Writing the above as $F(t) \geq G(t) + H(t)$, it is easy to see that $F(1) = G(1) + H(1)$ and that $F'(1) = G'(1) + H'(1)$. So it suffices to show $F''(t) \geq G''(t) + H''(t)$, which amounts to (after some simplification and rearranging):

$$rq(q - 1)(t + 1)^{q-2} + 2^{q-1}q^{q-3} \cdot (2 - q) + \left( q - r(q - 1) \right) \cdot t \geq (2 - q)t^{q-3} + qt^{q-2},$$

or

$$rq(q - 1) \left( \frac{t + 1}{t} \right)^{q-3} (t + 1) + 2^{q-1} \cdot (2 - q) + \left( q - r(q - 1) \right) \cdot t \geq (2 - q) + qt.$$

Substituting $y = \frac{t + 1}{t}$ and rearranging, this is the same as proving for $1 < y \leq 2$ that

$$rq(q - 1)y^{q-2} + (2 - q)(2^{q-1} - 1) y \geq (r(q - 1) - (2q - 2)2^{q-1} + (2q - 2).$$

Denote the LHS by $h(y)$. Then $h'$ is proportional to $(2^{q-1} - 1) - rq(q - 1)y^{q-3}$. Substituting $r = r(q) = \frac{2^{3-q}(2^{q-1} - 1)}{q(q - 1)}$, we see that $h'$ is proportional to $1 - \left( \frac{2}{q} \right)^{3-q}$. Hence $h' < 0$ for all $y < 2$, and it suffices to verify the last inequality for $y = 2$. Substituting $y = 2$ we get an identity in $q$.

This completes the proof of (10). Tracing back the conditions for equality, it is easy to see that it holds only if $g$ is a constant function. ■
3 Remaining proofs

Proof of Proposition 1.3

We will need some facts from Fourier analysis on the boolean cube [10]. First, recall that for a function $f$ on $\{0,1\}^n$ and for a subset $T \subseteq [n]$ holds $\mathbb{E}(f|T) = \sum_{R \subseteq T} \hat{f}(R)w_R$, where $\{W_R\}$ are the Walsh-Fourier characters. Recall also that if $f = \frac{2^n}{|C|} \cdot 1_C$, where $C$ is a linear code, then $\hat{f} = 1_{C^\perp}$.

We also recall a fact from linear algebra. For $T \subseteq [n]$, let $C_T^\perp$ be the subspace $\{R \subseteq T, R \in C^\perp\}$. It is well known (and easy to see) that $\dim C_T^\perp = |T| - r_C(T)$, where $r_C(\cdot)$ is the dimension of the subset of columns indexed by $T$ in a generating matrix of $C$. Hence, for $x \in \{0,1\}^n$:

$$\mathbb{E}(f|T)(x) = \sum_{R \subseteq C_T^\perp} w_R(x) = \begin{cases} |C_T^\perp| = 2^{|T| - r_C(T)} & \text{if } x \in (C_T^\perp)^\perp \\ 0 & \text{otherwise} \end{cases}$$

And hence, $\|\mathbb{E}(f|T)\|_q^q = \mathbb{E}(\mathbb{E}(f|T)^q) = 2^{(q-1)(|T| - r_C(T))}$. Using this in (3) gives, for any $q > 1$ that

$$\log_2 \mathbb{E}f^q \leq (q - 1) \mathbb{E}(|T| - r_C(T)) = (q - 1) \cdot \left(\lambda n - \mathbb{E}_{T \sim \lambda} r_C(T)\right),$$

where $\lambda = (1 - 2\epsilon)^{r(q)}$. Rearranging, we get the claim of the proposition.

Proof of Lemma 1.4

Recall that for a function $f$ on $\{0,1\}^n$ and for $0 \leq \epsilon \leq 1/2$ holds $\hat{f}_\epsilon(R) = \hat{f}(R) \cdot (1 - 2\epsilon)^{|R|}$. Let $(b_0, ..., b_n)$ be the distance distribution of $C^\perp$. Then, since $\epsilon(2) = \frac{1 - \lambda^2 n^2}{2}$, we have

$$2^{F(\lambda,2)} = \sum_{R \subseteq \{0,1\}^n} \hat{f}^2(R) \cdot (1 - 2\epsilon)^{2|R|} = \sum_{i=0}^n b_i \lambda^{2 \ln 2^i}.$$ 

On the other hand, it is easy to see that for $f = \frac{2^n}{|C|} \cdot 1_C$ and for any $0 \leq \epsilon \leq 1/2$ holds $\|f_\epsilon\|_\infty = \|\sum_{R \subseteq \{0,1\}^n} \hat{f}(R)(1 - 2\epsilon)^{|R|}w_R\|_\infty = \sum_{R \subseteq \{0,1\}^n} \hat{f}(R)(1 - 2\epsilon)^{|R|} = f_\epsilon(0)$ (since $\hat{f}$ is nonnegative). Hence, recalling $\epsilon(\infty) = \frac{1 - \lambda^2 n^2}{2}$,

$$2^{F(\lambda,\infty)} = \|f_\epsilon\|_\infty = f_\epsilon(0) = \sum_{R \subseteq \{0,1\}^n} \hat{f}(R) \cdot (1 - 2\epsilon)^{|R|} = \sum_{i=0}^n b_i \lambda^{2 \ln 2^i}.$$
Proof or Lemma 1.8

Let $\epsilon = \frac{1-\sqrt{p}}{2}$. Using (3) with $q = 2$ we have, for $f = \frac{2^n}{|C|} \cdot 1_C$, that

$$\mathbb{E} f^2 = \sum_{S \in \{0,1\}^n} \hat{f}^2(S)(1-2\epsilon)2^{|S|} = \sum_{S \in \{0,1\}^n} \hat{f}^2(S)p^{|S|} = \mathbb{E}_{S \sim p} (\mathbb{E}(f|S)^2) = \mathbb{E}_{S \sim p} 2^{|S|-r_C(S)}.$$

Hence the claim of the lemma follows from the inequality $\lambda n - \mathbb{E}_{T \sim \lambda} r_C(T) \geq F(\lambda, 2)$ with

$$\lambda = (1 - 2\epsilon)^{r(2)} = (1 - 2\epsilon)^{\frac{1}{\ln 2}} = p^{\frac{1}{2 \ln 2}}.$$

Proof or Corollary 1.11

For $S \subseteq E$, the matroid rank of $S$ is given by $r(S) = \sum_{i=1}^{c(S)} (|C_i| - 1) = |V| - c(S)$, where $\{C_i\}$ are the connected components of $(V,S)$. Hence, $|S| - r(S) = |S| + c(S) - |V|$. Substituting this into the claim of Lemma 1.8 gives the claim of this corollary.

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References


